

第9章

第二次习题课

一、对面积的曲面积分

计算方法：一代、二换、三投影化为二重积分

设 $\Sigma: z=z(x, y), (x, y) \in D_{xy}$

$$\iint_{\Sigma} f(x, y, z) dS = \iint_{D_{xy}} f[x, y, z(x, y)] \sqrt{1 + z_x^2(x, y) + z_y^2(x, y)} dx dy$$

- 注意：
- 1、利用曲面方程化简被积函数
 - 2、对称性的应用



二、对坐标的曲面积分

1、直接计算：“一代二定三投影”化为二重积分计算。

Σ : $z=z(x, y)$ 时,

$$\iint_{\Sigma} R(x, y, z) dx dy = \pm \iint_{D_{xy}} R[x, y, z(x, y)] dx dy$$

上正下负

Σ : 由 $x = x(y, z)$ 给出, 则有

$$\iint_{\Sigma} P(x, y, z) dy dz = \pm \iint_{D_{yz}} P[x(y, z), y, z] dy dz$$

前正后负

Σ : 由 $y = y(z, x)$ 给出, 则有

$$\iint_{\Sigma} Q(x, y, z) dz dx = \pm \iint_{D_{zx}} Q[x, y(z, x), z] dz dx$$

右正左负



2. 利用两类曲面积分之间的关系

$$\iint_{\Sigma} \vec{A} \cdot d\vec{S} = \iint_{\Sigma} (P \cos \alpha + Q \cos \beta + R \cos \gamma) dS$$

3. 投影转换法（法2的改进）

若 $\Sigma: z=z(x, y), (x, y) \in D_{xy}$, 则

$$\iint_{\Sigma} P dydz + Q dzdx + R dx dy = \iint_{D_{xy}} \vec{A} \cdot \vec{N} dx dy.$$

其中 $\vec{A} = \{P, Q, R\}, \vec{N} = \pm\{-z_x, -z_y, 1\}$ 上正下负

4. 高斯公式

$$\oiint_{\Sigma} P dydz + Q dzdx + R dx dy = \iiint_{\Omega} \left(\frac{\partial P}{\partial x} + \frac{\partial Q}{\partial y} + \frac{\partial R}{\partial z} \right) dv$$

注意：利用曲面方程化简被积函数



三、高斯公式

1. 高斯公式

2. 通量与散度

通量（流量）

$$\Phi = \iint_{\Sigma} \vec{A} \cdot d\vec{S} = \iint_{\Sigma} Pdydz + Qdzdx + Rdx dy$$

散度

$$\mathbf{div} A = \frac{\partial P}{\partial x} + \frac{\partial Q}{\partial y} + \frac{\partial R}{\partial z}$$



四、斯托克斯公式、环流量与旋度

1. *Stokes*公式

$$\oint_{\Gamma} Pdx + Qdy + Rdz = \iint_{\Sigma} \begin{vmatrix} dydz & dzdx & dxdy \\ \frac{\partial}{\partial x} & \frac{\partial}{\partial y} & \frac{\partial}{\partial z} \\ P & Q & R \end{vmatrix}$$

P 、 Q 、 R 在空间一维单连通区域 G 内一阶偏导连续， Σ 与 Γ 符合右手规则。

或

$$\oint_{\Gamma} Pdx + Qdy + Rdz = \iint_{\Sigma} \begin{vmatrix} \cos \alpha & \cos \beta & \cos \gamma \\ \frac{\partial}{\partial x} & \frac{\partial}{\partial y} & \frac{\partial}{\partial z} \\ P & Q & R \end{vmatrix} dS$$

2. 环流量与旋度

$$\vec{A} = \{P, Q, R\},$$

$$\text{rot } \vec{A} = \begin{vmatrix} i & j & k \\ \frac{\partial}{\partial x} & \frac{\partial}{\partial y} & \frac{\partial}{\partial z} \\ P & Q & R \end{vmatrix} = \left(\frac{\partial R}{\partial y} - \frac{\partial Q}{\partial z}\right)i + \left(\frac{\partial P}{\partial z} - \frac{\partial R}{\partial x}\right)j + \left(\frac{\partial Q}{\partial x} - \frac{\partial P}{\partial y}\right)k$$

沿有向闭曲线 Γ 的曲线积分

$$\oint_{\Gamma} Pdx + Qdy + Rdz$$

叫做向量场 A 沿有向闭曲线 Γ 的环流量。

例1、选择与填空（总习题） $\frac{4\pi R^4}{3} (\alpha^2 + \beta^2 + \gamma^2)$

1)、 $\Sigma: x^2 + y^2 + z^2 = a^2$, 则 $\iint_{\Sigma} (\alpha^2 x^2 + \beta^2 y^2 + \gamma^2 z^2) dS =$ _____

解 利用轮换对称性

$$\text{原式} = \frac{1}{3} (\alpha^2 + \beta^2 + \gamma^2) \iint_{\Sigma} (x^2 + y^2 + z^2) dS$$

$$= \frac{1}{3} (\alpha^2 + \beta^2 + \gamma^2) \iint_{\Sigma} R^2 dS$$

$$= \frac{4\pi R^4}{3} (\alpha^2 + \beta^2 + \gamma^2)$$



2)、 Σ : yo 平面上的圆域 $y^2 + z^2 \leq 1$,

$$\text{则} \iint_{\Sigma} (x^2 + y^2 + z^2) dS = \underline{\frac{\pi}{2}}$$

解 $\Sigma: z = 0$

$$\text{原式} = \iint_{D_{yz}} (y^2 + z^2) dydz = \int_0^{2\pi} d\theta \int_0^1 \rho^3 d\rho = \frac{\pi}{2}$$

3)、设 $r = \sqrt{x^2 + y^2 + z^2}$, 则

$$\text{div}(\text{grad } r) = \underline{\frac{2}{r}}; \text{rot}(\text{grad } r) = \underline{\vec{0}}.$$

$$\text{解: } \text{grad } r = \left\{ \frac{x}{r}, \frac{y}{r}, \frac{z}{r} \right\}$$

$$\frac{\partial}{\partial x} \left(\frac{x}{r} \right) = \frac{r - x \cdot \frac{x}{r}}{r^2} = \frac{r^2 - x^2}{r^3}$$



$$\frac{\partial}{\partial y} \left(\frac{y}{r} \right) = \frac{r^2 - y^2}{r^3}$$

三式相加即得

$$\frac{\partial}{\partial z} \left(\frac{z}{r} \right) = \frac{r^2 - z^2}{r^3}$$

$$\text{div}(\text{grad } r) = \frac{2}{r}$$

$$\text{rot}(\text{grad } r) = \begin{vmatrix} \vec{i} & \vec{j} & \vec{k} \\ \frac{\partial}{\partial x} & \frac{\partial}{\partial y} & \frac{\partial}{\partial z} \\ \frac{x}{r} & \frac{y}{r} & \frac{z}{r} \end{vmatrix} = \{0, 0, 0\}$$

$$= \left[\frac{\partial}{\partial y} \left(\frac{z}{r} \right) - \frac{\partial}{\partial z} \left(\frac{y}{r} \right) \right] \vec{i} + \left[\frac{\partial}{\partial z} \left(\frac{x}{r} \right) - \frac{\partial}{\partial x} \left(\frac{z}{r} \right) \right] \vec{j} + \left[\frac{\partial}{\partial x} \left(\frac{y}{r} \right) - \frac{\partial}{\partial y} \left(\frac{x}{r} \right) \right] \vec{k}$$

$$= \left[\frac{-yz}{r^3} - \frac{-yz}{r^3} \right] \vec{i} + \left[\frac{-xz}{r^3} - \frac{-xz}{r^3} \right] \vec{j} + \left[\frac{-xy}{r^3} - \frac{-xy}{r^3} \right] \vec{k}$$



例2 $\iint (x^2 + y^2 + z^2) dS \quad \Sigma \quad x^2 + y^2 + z^2 = 2ax$

解 记 $\Sigma_1 \quad x - a = \sqrt{a^2 - z^2 - y^2},$

则 $\Sigma_2 \quad x - a = -\sqrt{a^2 - z^2 - y^2}$
 $\frac{\partial x}{\partial y} = \frac{-y}{\sqrt{a^2 - z^2 - y^2}}, \quad \frac{\partial x}{\partial z} = \frac{-z}{\sqrt{a^2 - z^2 - y^2}}$

$$ds = \frac{a}{\sqrt{a^2 - z^2 - y^2}} dydz \quad D_{yz} \quad y^2 + z^2 \leq a^2$$

$$\iint_{\Sigma} (x^2 + y^2 + z^2) dS = \iint_{\Sigma} 2ax dS = \iint_{\Sigma_1} 2ax dS + \iint_{\Sigma_2} 2ax dS$$

$$= \iint_{\Sigma_1} 2a(a + \sqrt{a^2 - y^2 - z^2}) dS \\ + \iint_{\Sigma_2} 2a(a - \sqrt{a^2 - y^2 - z^2}) dS$$



$$= \iint_{\Sigma_1} 2a^2 dS + \iint_{\Sigma_2} 2a^2 dS + \iint_{\Sigma_2} 2a \sqrt{a^2 - y^2 - z^2} dS$$

$$- \iint_{\Sigma_2} 2a \sqrt{a^2 - y^2 - z^2} dS$$

$$= 8\pi a^4 + 2a \iint_{D_{yz}} a dy dz - 2a \iint_{D_{yz}} a dy dz = 8\pi a^4$$

或 $\iint_{\Sigma} (x^2 + y^2 + z^2) dS = \iint_{D_{yz}} 2ax dS = 2a \bar{x} \cdot S$

$$= 2a \cdot a \cdot 4\pi a^2 = 8\pi a^4$$

注：此题可改成 $\iint_{\Sigma} (x^2 + y^2 + z^2) dS$ $\Sigma : x^2 + y^2 + z^2 = 2az$



例3 计算 $\iint_{\Sigma} (y^2 - z)dydz + (z^2 - x)dzdx + (x^2 - y)dxdy$
 Σ 为 $z = \sqrt{x^2 + y^2}$ ($0 \leq z \leq h$)的外侧

解：方法1：直接计算

$$\iint_{\Sigma} (y^2 - z)dydz = \iint_{\Sigma_1} + \iint_{\Sigma_2} \quad \begin{array}{l} \Sigma_1 \text{ 是 } \Sigma \text{ 的前半部分,} \\ \Sigma_2 \text{ 是 } \Sigma \text{ 的后半部分} \end{array}$$

$$= \iint_{D_{yz}} (y^2 - z)dydz - \iint_{D_{yz}} (y^2 - z)dydz = 0$$

类似地 $\iint_{D_{yz}} (z^2 - x)dzdx = 0$

而 $\iint_{\Sigma} (x^2 - y)dxdy = -\iint_{D_{xy}} (x^2 - y)dxdy \quad D_{xy} : x^2 + y^2 \leq h^2$

$$\begin{aligned} I &= -\iint_{D_{xy}} (x^2 - y)dxdy = -\frac{1}{2} \iint_{D_{xy}} (x^2 + y^2)dxdy \\ &= -\frac{1}{2} \int_0^{2\pi} d\theta \int_0^h \rho^3 d\rho = -\frac{\pi}{4} h^4 \end{aligned}$$

方法2 投影转换

$$\vec{N} = \left\{ \frac{x}{\sqrt{x^2 + y^2}}, \frac{y}{\sqrt{x^2 + y^2}}, -1 \right\}$$

$$\vec{A} = \{y^2 - z, z^2 - x, x^2 - y\}$$

$$I = \iint_{D_{xy}} \vec{A} \cdot \vec{N} dx dy$$

$$D_{xy} : x^2 + y^2 \leq h^2$$

$$= \iint_{D_{xy}} \left[\frac{x}{\sqrt{x^2 + y^2}} (y^2 - z) + \frac{y}{\sqrt{x^2 + y^2}} (z^2 - x) - (x^2 - y) \right] dx dy$$

$$= \iint_{D_{xy}} \left[\left(\frac{xy^2}{\sqrt{x^2 + y^2}} - x + y\sqrt{x^2 + y^2} - \frac{xy}{\sqrt{x^2 + y^2}} \right) - (x^2 - y) \right] dx dy$$

$$= 0 - \iint_{D_{xy}} x^2 dx dy + 0 = -\frac{\pi}{4} h^4$$

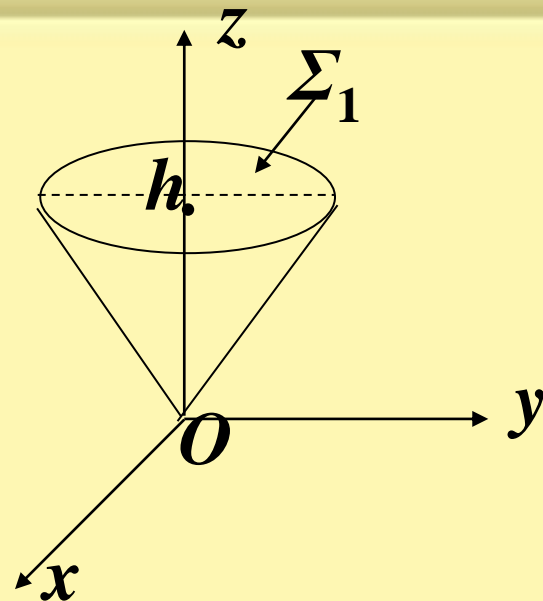


方法3 高斯公式

Σ_1 $x^2 + y^2 \leq h^2, z = h$ 取上侧,则

$$\iint_{\Sigma} = \iiint_{\Sigma+\Sigma_1} - \iiint_{\Sigma_1} = \iiint_{\Omega} 0dv - \iint_{\Sigma_1} (x^2 - y) dxdy$$

$$= - \iint_{D_{xy}} (x^2 - y) dxdy = -\frac{\pi}{4} h^4$$



注: 计算 $\iint_{\Sigma} Pdydz + Qdzdx + Rdxdy$

若 Σ 为平面, 一般化为第一类或投影转换,

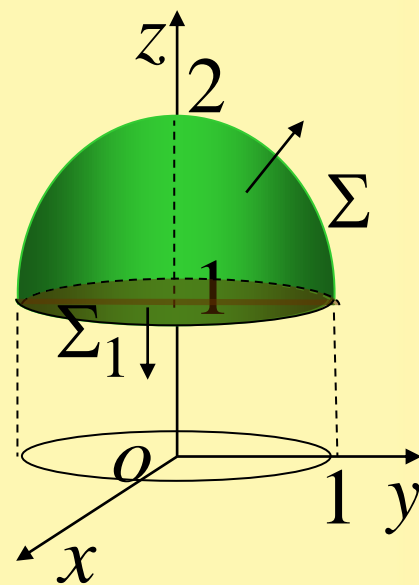
若 Σ 为曲面, 一般补面用高斯公式

例4 设 Σ 为曲面 $z = 2 - x^2 - y^2$, $1 \leq z \leq 2$ 取上侧, 求

$$I = \iint_{\Sigma} (x^3 z + x) dydz - x^2 yz dzdx - x^2 z^2 dx dy.$$

解: 作取下侧的辅助面

$$\Sigma_1 : z = 1 \quad (x, y) \in D_{xy} : x^2 + y^2 \leq 1$$



$$I = \oiint_{\Sigma + \Sigma_1} - \iint_{\Sigma_1}$$

用柱坐标

用极坐标

$$= \iiint_{\Omega} dx dy dz - (-1) \iint_{D_{xy}} (-x^2) dx dy$$

$$= \int_0^{2\pi} d\theta \int_0^1 \rho d\rho \int_1^{2-\rho^2} dz - \int_0^{2\pi} \cos^2 \theta d\theta \int_0^1 \rho^3 d\rho$$

$$= \frac{13\pi}{12}$$



例5 计算 $\iint_{\Sigma} (x^3 + az^2)dydz + (y^3 + ax^2)dzdx + (z^3 + ay^2)dxdy$

Σ 是上半球面 $z = \sqrt{a^2 - x^2 - y^2}$ 的外侧 ($a > 0$).

解: 补面 $\Sigma_1 : z = 0$, 取下侧

$$\begin{aligned} \text{原式} &= 3 \iiint_{\Omega} (x^2 + y^2 + z^2) dx dy dz - \iint_{\Sigma_1} \\ &= 3 \int_0^{2\pi} d\theta \int_0^{\frac{\pi}{2}} \sin\varphi d\varphi \int_0^R r^2 \cdot r^2 dr + a \iint_D y^2 dx dy \\ &= \frac{6}{5} \pi a^5 + \frac{a}{2} \iint_D (x^2 + y^2) dx dy \\ &= \frac{6}{5} \pi a^5 + \frac{a}{2} \int_0^{2\pi} d\theta \int_0^a \rho^2 \rho d\rho = \frac{29}{20} \pi a^5 \end{aligned}$$



例6. 计算 $\iint_{\Sigma} \frac{\cos(\vec{r}, \vec{n})}{|\vec{r}|^2} dS$, Σ 为一封闭曲面 \vec{n} 为 Σ 上点 (x, y, z) 处的

单位外法向量 $\vec{r} = \{x, y, z\}$.

解: 原式 = $\iint_{\Sigma} \frac{\vec{r} \cdot \vec{n}}{|\vec{r}|^3} dS = \iint_{\Sigma} \frac{x \cos \alpha + y \cos \beta + z \cos \gamma}{\sqrt{(x^2 + y^2 + z^2)^3}} dS$

$$= \iint_{\Sigma} \frac{xdydz + ydzdx + zdx dy}{\sqrt{(x^2 + y^2 + z^2)^3}}$$

$$\frac{\partial P}{\partial x} = \frac{(x^2 + y^2 + z^2)^{\frac{3}{2}} - x \cdot \frac{3}{2} (x^2 + y^2 + z^2)^{\frac{1}{2}} \cdot 2x}{(x^2 + y^2 + z^2)^3}$$

$$= \frac{y^2 + z^2 - 2x^2}{\sqrt{(x^2 + y^2 + z^2)^5}}$$

由对称性知



$$\frac{\partial Q}{\partial y} = \frac{z^2 + x^2 - 2y^2}{\sqrt{(x^2 + y^2 + z^2)^5}} \quad \frac{\partial R}{\partial z} = \frac{x^2 + y^2 - 2z^2}{\sqrt{(x^2 + y^2 + z^2)^5}}$$

所以除原点外处处有

$$\frac{\partial P}{\partial x} + \frac{\partial Q}{\partial y} + \frac{\partial R}{\partial z} = 0$$

(1): Σ 不包围原点:
$$\iint_{\Sigma} \frac{\vec{\cos}(r, \vec{n})}{|\vec{r}|^2} dS = 0$$

(2): Σ 包围原点,

原式 =
$$\iint_{\Sigma_1} \frac{xdydz + ydzdx + zdx dy}{\sqrt{(x^2 + y^2 + z^2)^3}}, \Sigma_1 : x^2 + y^2 + z^2 = \varepsilon^2$$

$$= \frac{1}{\varepsilon^3} \iint_{\Sigma_1} xdydz + ydzdx + zdx dy = \frac{1}{\varepsilon^3} \iiint_{\Omega} 3dv = 4\pi$$

例7. 流速 $\vec{v}(x, y, z) = \{x^3, y^2, z^4\}$ 的液体流过由曲面 $z = 4 - (x^2 + y^2)$ 和 $z = 1 - \frac{1}{4}(x^2 + y^2)$ 所围的立体。今用平行于 xOz 面的平面截此立体，问沿 y 轴正方哪个截面的流量最大？

解： Σ_{y_0} : 平面 $y = y_0$ 上由曲线 $z = 4 - (x^2 + y_0^2)$

和 $z = 1 - \frac{1}{4}(x^2 + y_0^2)$ 所围 ($|y_0| \leq 2$)，取右侧

$$\Phi(y_0) = \iint_{\Sigma_{y_0}} x^3 dydz + y^2 dzdx + z^4 dxdy = \iint_{\Sigma_{y_0}} y^2 dzdx$$

$$= \int_{-\sqrt{4-y_0^2}}^{\sqrt{4-y_0^2}} dx \int_{1-\frac{1}{4}y_0^2-\frac{1}{4}x^2}^{4-y_0^2-x^2} y_0^2 dz$$

$$= \int_{-\sqrt{4-y_0^2}}^{\sqrt{4-y_0^2}} dx \int_{1-\frac{1}{4}y_0^2-\frac{1}{4}x^2}^{4-y_0^2-x^2} y_0^2 dz$$

$$= \int_{-\sqrt{4-y_0^2}}^{\sqrt{4-y_0^2}} \frac{3}{4} (4-y_0^2-x^2) y_0^2 dx = (4-y_0^2)^{\frac{3}{2}} y_0^2$$

$$\Phi'(y_0) = (4-y_0^2)^{\frac{1}{2}} y_0 (8-5y_0^2) = 0$$

$$y_0 = \pm \sqrt{\frac{8}{5}}$$

比较 $\Phi(0) = 0$, $\Phi(\pm 2) = 0$, $\Phi(\pm \sqrt{\frac{8}{5}}) = \frac{192}{125} \sqrt{15}$

通过截面 $y_0 = \pm \sqrt{\frac{8}{5}}$ 的流量最大

例8. 在变力 $\vec{F} = yzi\vec{i} + zxj\vec{j} + xyk\vec{k}$ 的作用下, 质点沿直线运动到椭球面 $\frac{x^2}{a^2} + \frac{y^2}{b^2} + \frac{z^2}{c^2} = 1$ 上第一卦限的点 (ξ, η, ς) , 问 ξ, η, ς 取何值时, 力所做的功最大? 并求最大值。

解: 空间直线 \overline{OM} 的参数方程为: $x = \xi t, y = \eta t, z = \varsigma t,$

$$0 \leq t \leq 1$$

$$W = \int_{\overline{OM}} \vec{F} \cdot d\vec{s} = \int_{\overline{OM}} yzdx + zxdy + xydz = \int_0^1 3\xi\eta\varsigma^2 dt = \xi\eta\varsigma$$

$$\text{下求 } W = \xi\eta\varsigma \text{ 在 } \frac{\xi^2}{a^2} + \frac{\eta^2}{b^2} + \frac{\varsigma^2}{c^2} = 1 (\xi, \eta, \varsigma > 0)$$

条件下的最大值

$$\text{求得 } \xi = \frac{a}{\sqrt{3}}, \eta = \frac{b}{\sqrt{3}}, \varsigma = \frac{c}{\sqrt{3}} \quad W_{\text{最大值}} = \frac{\sqrt{3}}{9} abc$$